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Criteria-based return-to-sport testing helps identify functional deficits in young athletes following posterior labral repair but may not reduce recurrence or increase return to play

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**Criteria-based return-to-sport testing helps identify functional deficits in young athletes following posterior labral repair but may not reduce recurrence or increase return to play**

**Running Title:** Return to sport testing following posterior stabilization

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1 **ABSTRACT**

2

3 **Background:** The purpose of this study was to compare recurrent instability and return to play  
4 (RTP) in young athletes who underwent clearance to full activity based on a validated return to  
5 sport (RTS) test to those who underwent time-based clearance following primary posterior labral  
6 repair.

7 **Methods:** This was a retrospective review of athletes with posterior shoulder instability who  
8 underwent primary arthroscopic posterior labral repair from 2012-2021 with minimum one-year  
9 follow-up. Patients who underwent RTS testing at a minimum of 5 months postoperatively were  
10 compared to a historic control cohort of patients who underwent time-based clearance.

11 **Results:** There were 30 patients in the RTS cohort and 67 patients in the control cohort (mean  
12 follow-up 32.1 and 38.6 months, respectively). Of the 30 patients who underwent RTS testing,  
13 11 passed without failing any sections, 10 passed while failing one section, and 9 failed the RTS  
14 test by failing 2+ sections. No differences were found between the RTS and control cohort in the  
15 incidence of recurrent instability (6.7% vs 9.0%), overall RTP (94.7% vs 94.3%), RTP at the  
16 same level as prior to injury (84.2% vs 80.0%), recurrent pain/weakness (23.3% vs 25.4%), or  
17 revision surgery (0% vs 3.0%), respectively.

18 **Discussion:** While return to sports testing in young athletes after posterior labral repair did not  
19 reduce recurrence or improve return to play compared to time-based clearance, two-thirds of  
20 athletes who underwent testing failed at least one section, indicating some functional deficit.  
21 Thus, return to sport testing may help guide postoperative rehabilitation following posterior  
22 stabilization.

23 **Level of Evidence:** Level III; Retrospective Cohort Comparison; Prognosis Study

24 **Keywords:** return to sport; posterior instability; rehabilitation; testing; recurrent instability;  
25 athlete; posterior labral repair

26 Posterior shoulder instability is a relatively uncommon condition in the general population,  
27 accounting for 2-10% of all shoulder instability<sup>2,8,22</sup>. However, this incidence is as high as 22%  
28 in athletes, especially those participating in contact or overhead sports<sup>8,19</sup>. In overhead athletes,  
29 posterior labral injury is often insidious due to repetitive microtrauma and can present in a subtle  
30 manner including decline in performance<sup>29</sup>. Contact athletes, on the other hand, often experience  
31 acute instability episodes due to blunt force in a provocative arm position<sup>8,19</sup>. In both  
32 mechanisms, compromise of the posteroinferior capsule and the posterior labrum often co-  
33 occur<sup>2,20</sup>. Thus, when surgical management is indicated, arthroscopic posterior labral repair and  
34 capsulorrhaphy have been shown to have high rates of return to play (RTS), between 80-100%  
35 for collision athletes and 85.2-100% for overhead athletes<sup>12,17</sup>.

36  
37 Despite these relatively high rates of RTS after posterior stabilization, recurrent instability occurs  
38 at a rate of up to 11% in athletes and 17.7% in the general population<sup>13,27</sup>. Additionally, many  
39 athletes fail to return to sport at the same level as prior to injury<sup>7,18</sup>. After posterior shoulder  
40 stabilization, surgeons commonly clear patients for full activity on a time-from-surgery basis,  
41 often at around 6 months postoperatively. Recent literature using a validated, objective RTS  
42 testing protocol, however, has demonstrated that around 90% of competitive athletes still have  
43 residual and functional limitations at this timepoint following anterior shoulder stabilization  
44 surgeries<sup>11,24,31</sup>. Thus, clearance to full activity based on a validated RTS testing protocol, rather  
45 than time-based clearance, may be a promising avenue for increasing rates of return to sport and  
46 reducing recurrent posterior instability following arthroscopic posterior stabilization.

47  
48 Clearance based on RTS testing has been extensively validated after ACL reconstruction, with an  
49 84% reduction in re-injury risk compared to time-based clearance and is widely considered  
50 standard of care following these procedures<sup>14</sup>. Furthermore, a recent study by Drummond et al  
51 found that full clearance via criteria-based RTS testing after arthroscopic Bankart repair was  
52 associated with a four-fold reduction of recurrent anterior instability compared to athletes cleared  
53 via time-based clearance at a minimum of 1-year follow-up<sup>11</sup>.

54  
55 Thus, the purpose of this study was to investigate the effect of the same return to sport testing  
56 protocol on recurrence and return to play (RTP) rates following arthroscopic posterior shoulder  
57 stabilization surgery compared to time-based clearance. We hypothesized that patients who  
58 underwent RTS testing and were subsequently cleared would have a lower rate of recurrent  
59 instability and a higher rate of RTP compared to those who were cleared to return based on time  
60 from surgery.

## 61 **METHODS**

### 62 **Study Design and Patient Selection**

63  
64 This was a retrospective cohort study that reviewed the electronic medical records of patients  
65 who underwent primary arthroscopic posterior labral repair (with or without SLAP repair)  
66 performed by two fellowship-trained orthopedic sports medicine surgeons at our institution from  
67 2012-2021. A waiver of consent was granted by the Institutional Review Board at the University  
68 of Pittsburgh. All patients underwent arthroscopic posterior stabilization in the lateral decubitus  
69 position using standard arthroscopic techniques with labral repair and capsulorrhaphy. A  
70 concomitant SLAP repair was performed following diagnostic arthroscopic confirmation of

71 SLAP tear extension. SLAP repairs were performed using arthroscopic knotless suture anchor  
72 techniques through a low-profile percutaneous portal medial to the rotator cuff cable.

73

74 A minimum 1-year follow-up time from initial surgical stabilization was utilized for an  
75 individual to be included in the electronic medical record review. Exclusion criteria included  
76 open or revision procedures, patients above the age of 30 years at the time of surgery, patients  
77 with general joint hyperlaxity (score  $\geq 4$  according to the Beighton criteria<sup>16</sup>, patients with  
78 glenoid bone loss, patients with concomitant rotator cuff injury, patients undergoing isolated  
79 anterior stabilization with or without concomitant SLAP tears, and patients with multidirectional  
80 instability.

81

82 Patients were separated into two groups based on whether they underwent criteria-based return to  
83 sport testing (RTS group) or time-based clearance (historic control group). The historic control  
84 group consisted of patients from 2012 to the end of 2016, whereas the RTS group consisted of  
85 patients from 2017 onwards, when the test was initiated and routinely implemented for all  
86 shoulder instability surgery. All patients in both groups initially underwent a standardized  
87 postoperative rehabilitation protocol for posterior labral repair surgery, which included three  
88 main phases, before either undergoing RTS testing or time-based clearance. Phase 1 (weeks 0-6)  
89 involved sling immobilization for 4 weeks with the initiation of pendulums at 2 weeks followed  
90 by formal physical therapy with passive range of motion (PROM) at 4 weeks, with limitations on  
91 internal rotation. Phase 2 (generally week 6 to week 12) involved initiation of active range of  
92 motion (AROM) with slow progressive strengthening via submaximal tissue loading, with a

93 focus on dynamic stabilization and neuromuscular control. Phase 3 (generally week 12 to week  
94 24) focused on the normalization of strength and neuromuscular control.

95

96 Between 5 and 6 months postoperatively, patients in the RTS group underwent criteria-based  
97 return to sport testing after approval from the surgeon during routine clinic visit. The RTS test  
98 was performed by a physical therapist using a previously validated protocol, similar to the one  
99 used by Drummond et al<sup>11,24,31</sup>. The battery of tests utilized in this study and their scoring were  
100 rigorously studied by Popchak et al and were concluded to have high validity and reliability for  
101 assessing shoulder function in young athletes<sup>24</sup>. The tests measured external and internal rotation  
102 strength with isokinetic and isometric methods as well as endurance with resisted external  
103 rotation. Isokinetic testing was measured on a Biodex System dynamometer (Shirley, NY, USA)  
104 using peak torque at 60 and 180 degrees per second (Figure 1). All Biodex testing was performed  
105 in a modified neutral position. Isometric external and internal rotation was measured at 0 and 90  
106 degrees (Figure 2a and 2b). Patients were instructed to move through the range of IR and ER  
107 with maximum speed and power in both directions. The strength assessment at 60 degrees per  
108 second consisted of 5 repetitions, while the assessment at 180 degrees per second consisted of 10  
109 repetitions, with a rest period of 1-2 min between tests. The peak torque generated for concentric  
110 movements of ER and IR at 60 and 180 degrees per second were taken as the measure of  
111 isokinetic strength. Participants were asked if they experienced any discomfort and if they could  
112 continue after each movement. The external rotation endurance test involved repetitions to  
113 failure with 5% of body weight at 0 and 90 degrees of abduction. For all strength assessments,  
114 patients were required to reach 90% of the values from the contralateral extremity in order to  
115 pass. Two additional tests of function were utilized, including the closed kinetic chain upper

116 extremity stability (CKCUES) test and the unilateral seated shot-put (USS) test. The CKCUES  
117 consisted of touching the contralateral hand and returning to a base push-up position over 3  
118 rounds of 15 active seconds with 45-second breaks (Figure 3). Touches per 15 seconds were  
119 averaged over three trials. Subjects passed with a minimum of 21 touches. The USS was a  
120 distance-based test of throwing a 2.72 kg medicine ball with a goal of achieving 90% of the  
121 contralateral side's toss, while adjusting for hand dominance (Figure 4). The distance was  
122 averaged over three trials with 30-second rest periods between trials.

123  
124 The results of the testing were conveyed to the surgeon for final approval for full clearance.  
125 Patients who passed all components of the RTS test were cleared to return to sports. Patients who  
126 failed only one component were given 4-6 weeks delayed clearance to return to sports after  
127 focusing on the specific deficit with the physical therapist during the intervening time period.  
128 Patients who failed multiple components of the test underwent additional formal rehabilitation to  
129 address deficits over a period of 4-6 weeks and repeated the test before final clearance. Once an  
130 athlete passed and was cleared to return to sport, final return to play was individualized based on  
131 the sport and injury pattern, including SLAP tear characteristics. For instance, a baseball player  
132 with a Type VIII SLAP repair of the throwing was cleared for a progressive throwing program  
133 after 5 months once they passed the RTS test, while a contact player was cleared to return to  
134 unrestricted activity with final return to play determined by the athletic training staff and  
135 coaches. This is especially important, as SLAP tears with posterior extension may represent  
136 different injury patterns than traumatic posterior inferior labral tears with SLAP tear extensions.

137



138 Patients in the historic control group did not undergo RTS testing and instead, were cleared for  
139 sports at a minimum of 6 months postoperatively at the discretion of the surgeon based on  
140 physical examination of symmetric ROM and strength to contralateral as well as lack of  
141 apprehension on instability testing. Clearance was delayed for patients who expressed  
142 apprehension or did not have adequate ROM and strength compared to contralateral side.

### 143 **Data Collection and Outcomes**

144 Baseline demographic variables of age, body mass index (BMI), hand dominance, and sex were  
145 recorded, along with activity status including sport played, position played, contact vs non-  
146 contact athlete, competitive athlete, and overhead athlete. Injury variables included side of  
147 injury, diagnosis, SLAP repair, number of anchors used, and whether or not RTS testing was  
148 employed.

149  
150 The primary outcomes were recurrent instability (defined as having at least one documented  
151 recurrent subluxation/dislocation episode or physical exam demonstrating instability), RTP rate  
152 (both overall and at the same level as prior to injury), recurrent pain (defined as >3/10 pain on  
153 VAS) or weakness (self-reported and <5/5 on manual muscle testing), and revision surgery.

154 Secondary outcomes were patient-reported outcomes (PROs) including pre and postoperative  
155 visual analog scale (VAS) and subjective shoulder value (SSV). VAS is a self-reported measure  
156 of pain from 0 to 10 taken at all clinic visits, with 0 being no pain and 10 being the worst pain.  
157 SSV is a self-reported measure from 0 to 100% taken at all clinic visits where the patient  
158 expresses their shoulder function as a percent of an entirely normal shoulder. All outcomes were  
159 collected at final follow-up during clinic visits.

### 160 **Statistical Analysis**

161 Outcomes and demographic variables for each group were compared using either independent  
162 samples T-test for parametric continuous data, and Chi-squared or Fisher's Exact Test for  
163 categorical data. A post-hoc power analysis was conducted for recurrence rates. With the effect  
164 size observed and a power of 0.8 to determine the true difference in recurrence at an alpha of  
165 0.05, the study would need upwards of 4800 patients. All statistical analysis was performed  
166 using SPSS, version 26 (IBM Corp., Armonk, NY, USA) by an individual that did not participate  
167 in data collection. Two-tailed P values <0.05 were considered statistically significant.

## 168 **RESULTS**

### 169 **Study Cohort**

170 A total of 97 patients met the inclusion criteria and were included in the study. Of these 97  
171 patients, 30 underwent RTS testing and 67 underwent time-based clearance. There were no  
172 differences between the RTS and control group with regards to age ( $19.9 \pm 4.2$  years vs  $22.5 \pm$   
173  $4.7$  years), BMI ( $27.2 \pm 3.9$  vs  $26.2 \pm 5.8$ ), sex (80.0% vs 68.7% male), or proportion of  
174 overhead athletes (50.0% vs 44.8%) (Table 1). The RTS group, however, had a greater  
175 proportion of contact athletes (53.3% vs 28.4%;  $p=0.018$ ) and competitive athletes (83.3% vs  
176 44.8%;  $p<0.001$ ) than the control group. Mean final follow-up was similar between the RTS and  
177 control cohort at 32.1 and 38.6 months after surgery, respectively (Table 1). Return to play  
178 outcomes were only available for 19 patients in the RTS testing cohort and for 35 patients in the  
179 control cohort.

180

181 With regards to operative characteristics, both groups had a similar proportion of concomitant  
182 SLAP repairs. In all cases, at least 2 suture anchors were used, with no difference in the mean  
183 number of anchors used between groups (Table 1).

184

**185 Return to Sport Testing and Clearance Outcomes**

186 Return to sport testing occurred at a mean time of 5.7 months postoperatively. For the isokinetic  
187 testing, isometric testing, endurance testing, and the USS test, a shoulder index score was  
188 calculated by dividing the value for the involved shoulder by the value for the uninvolved  
189 shoulder. Shoulder index scores  $\geq 0.90$  were considered “passing” scores for these assessments.  
190 Passing of the CKCUES test was determined by averaging  $\geq 22$  repetitions over 3 trials of the  
191 test.

192 Isometric strength testing was not completed in one patient and isokinetic testing was not  
193 completed in another patient. Of the 30 patients who tested, 11 passed the RTS test without  
194 failing any sections and 10 passed the RTS test while failing one section (Table 2). The 11  
195 patients that passed all sections of the test were cleared to return to sports but the 10 that failed  
196 one section were asked to continue physical therapy to address their particular deficit for 4 weeks  
197 and then cleared (without needing to re-test). Nine patients failed RTS testing by failing two or  
198 more sections and thus, were not cleared to return to sport until re-test after a minimum of 4  
199 weeks with further recommendations for full participation based on the repeat test. These nine  
200 patients all passed their repeat test. Isokinetic testing at 60 and 180 degrees per second proved  
201 most challenging for athletes, with only 51.7% passing both in ER and 55.2% passing both in IR,  
202 indicating that for those who failed, isokinetic strength in both ER and IR were not at least 90%  
203 that of the contralateral side. Mean time to clearance in this cohort was 6.5 months  
204 postoperatively.

205

206 Conversely, all patients in the control group were eventually cleared at a mean of 6.6 months  
207 postoperatively. Of the 67 patients, 12 (17.9%) were determined to have residual deficits at the  
208 6-month postoperative clinic visit, requiring delayed clearance.

### 209 **Clinical Outcomes**

210 No differences were found between the RTS and control cohort in the incidence of recurrent  
211 instability (6.7% vs 9.0%;  $p=1.00$ ), overall RTP (94.7% vs 94.3%;  $p=0.94$ ), RTP at the same  
212 level as prior to injury (84.2% vs 80.0%;  $p=0.70$ ), recurrent pain or weakness (23.3% vs 25.4%;  
213  $p=0.83$ ), or revision surgery (0% vs 3.0%;  $p=1.00$ ), respectively (Table 3).

214

215 Of the 2 patients with recurrent instability in the RTS group, both were overhead athletes (1  
216 football quarterback, 1 tennis player) and neither required revision surgery. The football  
217 quarterback failed two sections of the RTS test, while the tennis player failed one section of the  
218 RTS test. Of the 6 patients with recurrent instability in the control group, 4 were overhead  
219 athletes (1 baseball player, 1 softball player, 1 football quarterback, 1 weightlifter) and 2 were  
220 not (2 football players). In the control group, 2 revision surgeries were performed, one in the  
221 softball player and one in the non-overhead football player.

222

223 In the RTS cohort, there was one patient that was unable to return to play (1 baseball player) and  
224 two additional patients that were unable to return to play at the same level (1 softball player and  
225 1 wrestler). Two of the three patients in this cohort that did not RTP at the same level were  
226 overhead athletes. Two of the three patients failed one section of the RTS test while one patient  
227 failed two sections. In the control cohort, there were two patients that were unable to return to  
228 play (1 softball player and 1 football player) and 5 additional patients that were unable to return

229 to play at the same level (3 football players, 1 volleyball player, 1 wrestler). Two of the seven  
230 patients in this cohort that did not RTP at the same level were overhead athletes.

231

232 No differences were found between groups with regards to preoperative SSV or VAS. At final  
233 follow-up, SSV was greater in the RTS cohort compared to the control cohort ( $94\pm 8\%$  vs  
234  $88\pm 14\%$ ;  $p=0.038$ ) while VAS was similar between cohorts ( $0.9 \pm 1.8$  vs  $0.9 \pm 1.8$ ;  $p=0.92$ )  
235 (Table 3).

## 236 **DISCUSSION**

237 The main finding of this study is that athletes who underwent RTS testing following arthroscopic  
238 posterior labral repair for posterior shoulder instability did not have significantly different rates  
239 of recurrent instability, RTP (overall and at same level as prior to injury), pain/weakness, or  
240 revision surgery compared to patients who underwent time-based clearance with overall similar,  
241 excellent outcomes in both cohorts. While RTS testing does not appear to have the same impact  
242 regarding recurrence rates following arthroscopic posterior stabilization compared to anterior  
243 stabilization, 2/3 of our athletes failed at least one component of the test, while 1/3 failed two or  
244 more components. Additionally, all the patients in the RTS testing cohort that had recurrence or  
245 failed to return to play at the same level failed at least one section of the RTS test. Finally,  
246 postoperative SSV was significantly higher in the RTS testing cohort, indicating that perhaps  
247 patients felt more secure in their shoulder function, having validated it through testing.

248 These results suggests that RTS testing may still be helpful in guiding postoperative  
249 rehabilitation and may indicate which patients are at higher risk for negative outcomes following  
250 clearance.

251

252 To our knowledge, there is a paucity of available literature analyzing the impact of a criteria-  
253 based RTS test on outcomes after posterior shoulder stabilization surgery. Prior studies have  
254 investigated its use in anterior shoulder instability. Drummond et al found that patients who  
255 underwent RTS testing following arthroscopic Bankart repair had over a four-fold reduction in  
256 the rate of recurrent instability than those who did not undergo testing<sup>11</sup>. Their findings were  
257 similar to those in the ACL reconstruction population, where patients who did not meet clinical  
258 discharge criteria before returning to sport had a 4 times greater risk of ACL graft rupture<sup>14,15</sup>.  
259 There may be multiple reasons for the contrasting results in posterior instability. First, posterior  
260 instability is a less common occurrence than anterior instability, with much lower rates of  
261 subsequent recurrence<sup>8</sup>. Second, posterior subluxations/recurrent posterior instability often  
262 presents in a more subtle manner than recurrent anterior instability, potentially manifesting as  
263 gradual decline in performance rather than acute subluxation/dislocation, and is likely better  
264 tolerated<sup>23,25</sup>. Therefore, measurable differences with a modifiable factor, such as return to sport  
265 testing, for recurrent instability following arthroscopic posterior stabilization may be too subtle  
266 to detect. Lastly, our test group had a statistically higher proportion of contact and competitive  
267 athletes compared to our control group. It is also possible that RTS testing does have a  
268 significant impact on recurrence in a high-risk population following posterior stabilization and  
269 normalizes rates to a more general population versus no difference. Additionally, we believe this  
270 is one of the first studies addressing whether RTS testing affects rates of return to play overall  
271 and at the same level as prior injury. Future prospective studies with matched cohorts are  
272 necessary to further elucidate the effects of these tests in shoulder instability.

273

274 The overall incidence of recurrent instability in this study was 8.2% (2/30 in the RTS cohort and  
275 6/67 in the control cohort), which is consistent with a systematic review by DeLong et al  
276 identifying an average recurrence rate of 8.1% after arthroscopic repair<sup>9</sup>. Six of the eight patients  
277 with recurrence in this study were overhead athletes, while only three of eight were contact  
278 athletes. These results are consistent with the literature, as the repetitive microtrauma from the  
279 compressive and distractive forces during overhead motions can cause weakening and  
280 contractures in the posterior capsulolabral complex and associated stabilizers<sup>1,6,26,28</sup>.

281  
282 The overall incidence of return to play in this study was 94.4% (18/19 in the RTS cohort and  
283 33/35 in the control cohort) while incidence of return to play at the same level as prior to injury  
284 was 81.5% (16/19 in the RTS cohort and 28/35 in the control cohort). Of the 10 patients that  
285 failed to RTP at the same level as prior to injury, 4 were overhead athletes while 6 were contact  
286 athletes. This distinction between RTP and RTP at the same level as prior to injury may be useful  
287 in distinguishing insidious posterior labral re-injury, especially in overhead athletes, where  
288 repetitive trauma during the motion arc can cause a gradual decline in performance rather than  
289 acute subluxation/dislocation episodes. Rates of return to play have been characterized in the  
290 literature, ranging from 57.9% to 100%, with a systematic review by Matar et al reporting a  
291 pooled weight of 86.9%<sup>17</sup>. However, return to play at pre-injury level is lower, ranging from  
292 47.4% to 100%, with a pooled weight of 74.9%<sup>17</sup>.

293  
294 Current literature on posterior shoulder instability is focused on how preoperative variables and  
295 surgical technique influence outcomes. Studies by Bradley et al have elucidated risk factors for  
296 recurrent posterior instability and revision repair including female sex, dominant shoulder injury,

297 concomitant rotator cuff injury, and smaller glenoid bone width<sup>4,5,30</sup>. Furthermore, Owens et al  
298 and Dickens et al revealed that patients with baseline glenoid dysplasia and bone loss as well as  
299 glenoid retroversion >10% are associated with posterior instability and greater recurrence after  
300 initial surgery<sup>3,10,21</sup>.

301  
302 However, modifiable risk factors have been identified as well, including number of anchors used,  
303 type of sports participation, postoperative rehabilitation protocols, and clearance to return to  
304 sport<sup>5,8,9</sup>. The mean time to return to sport in the time-based clearance cohort was 6.6 months,  
305 consistent with the literature, reporting ranges between 4.3 and 7.7 months<sup>17</sup>. Although RTS  
306 testing in this study did not influence recurrence of posterior instability, as it does for anterior  
307 instability, it is important to note that across all studies, a majority of athletes did not meet the  
308 expected goals for their operative shoulder at time of testing. While 63.3% of athletes failed at  
309 least one component of the RTS test in this study, Drummond et al found that 83.3% of patients  
310 with anterior instability failed at least one component<sup>11</sup>, and Wilson et al also found that 88.4%  
311 of patients with any type of instability failed at least one component<sup>31</sup>. In this study, isokinetic  
312 deficits were most apparent, with only 51.7% passing ER and 55.2% passing IR at both 60 and  
313 180 degrees per second. Interestingly, however, over 90% of patients passed both functional  
314 tests, suggesting that athletes may be able to compensate functionally for focal strength deficits.  
315 These findings are also consistent with those of Drummond and Wilson et al, calling into  
316 question whether physical examination maneuvers during clinic visits are able to discern such  
317 deficits<sup>11,31</sup>. The merit of a formal criteria-based return to sport testing protocol is the ability to  
318 detect deficits through objective measures of strength and range of motion, that may otherwise  
319 be well compensated and go unnoticed. In this study, all of the patients in the RTS testing cohort



320 that either had recurrence or failed to return to play at the same level failed at least one section of  
321 the RTS test. Therefore, the results of RTS testing may guide rehabilitation and demonstrate  
322 which patients are at risk of negative outcomes following clearance. Based on individual test  
323 results, providers may tailor their physical therapy and provide individualized clearance. These  
324 benefits must be weighed against the time and financial resources testing requires in order to  
325 determine whether RTS testing or time-based clearance should be employed.

326

327 While the RTS test in this study was able to identify residual deficits in nearly 2/3 of the athletes  
328 in the RTS cohort, future studies may focus on curating a test that is further tailored to athletes  
329 with posterior instability. Specifically, as over 90% of athletes passed both functional tests in this  
330 study, incorporating different functional tests that challenge patients more during posterior  
331 loading may further tease out patients not ready for full clearance. Other avenues of  
332 improvement include more reliable and valid endurance tests for the rotator cuff and scapular  
333 musculature. The authors of this study directly involved in testing noted that measuring ER  
334 endurance with repetitions to failure showed lower than acceptable reliability due to difficulty in  
335 uniform termination of testing across sessions<sup>24</sup>.

336

337 This study is not without limitations. First, due to its retrospective observational design, the  
338 study is subject to confounding bias, attrition bias, and selection bias due to exclusion of those  
339 without sufficient follow-up. Second, due to the relatively novel utilization of return to sport  
340 testing as well as the low incidence of posterior shoulder instability, this study may be subject to  
341 Type II error. However, the very small observed effect size of 2.3% makes us fairly confident  
342 that there is no clinically important difference between the two cohorts with regards to

343 recurrence rates, as a very large sample size (thousands of patients) would be needed to observe  
344 a statistical difference. Third, a minimum of 1 year follow-up was employed for this study and  
345 may not be sufficient to observe recurrence. However, the mean follow-up time was well above  
346 30 months for each group with no differences found between groups. Fourth, the RTS cohort had  
347 more contact athletes and competitive athletes, which may influence rates of recurrence. Finally,  
348 this study did not report on rates of return to sport due to insufficient data. Overall, given low  
349 recurrence and reoperation rates, future multi-center prospective studies may be needed to detect  
350 further differences between RTS testing and time-based clearance after arthroscopic surgery for  
351 posterior shoulder instability.

## 352 CONCLUSION

353 While criteria-based on return to sports testing in young athletes after posterior labral repair did  
354 not appear to reduce recurrence or improve return to play compared to time-based clearance,  
355 nearly two-thirds of all athletes who underwent return to sport testing failed at least one section  
356 of the test, indicating some level of functional deficit. Thus, return to sport testing may still be a  
357 useful tool for guiding postoperative rehabilitation following arthroscopic posterior stabilization,  
358 although further work may be needed to refine testing procedures to improve its reliability and  
359 validity.

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**Table and Figure Legends**459 **Figure 1. Isokinetic internal and external rotation test using Biodex dynamometer**460 **Figure 2a. Isometric external and internal rotation test at 0 degrees abduction**461 **Figure 2b. Isometric external and internal rotation test at 90 degrees abduction**462 **Figure 3. Closed kinetic chain upper extremity stability test**463 **Figure 4. Unilateral seated shot-put test**464 **Table 1. Demographic characteristics of study cohorts**

465 Abbreviations: RTS=return to sport testing; SLAP= Superior Labrum Anterior and Posterior;

466 n=number of patients, BMI = body mass index. Significance set at p value &lt; 0.05 (bold)

467 **Table 2. Criteria-based return to sport testing results**

468 Abbreviations: ER=external rotation; IR=internal rotation; ERET=external rotation endurance

469 test; CKCUE=closed kinetic chain upper extremity; n=number of patients

470 **Table 3. Comparison of outcomes between cohorts**

471 Abbreviations: RTS=return to sport testing; n=number of patients; SSV=subjective shoulder

472 value; VAS = visual analog scale for pain. Significance set at p value &lt; 0.05 (bold)

Characteristic	RTS (n=30)	Control (n=67)	p-value
Age (years)	19.9 ± 4.2	22.5 ± 4.7	0.07
BMI (kg/m <sup>2</sup> )	27.2 ± 3.9	26.2 ± 5.8	0.32
Sex (n, % Male)	24 (80.0%)	46 (68.7%)	0.25
Contact Athlete (n, %)	16 (53.3%)	19 (28.4%)	<b>0.018</b>
Competitive Athlete (n, %)	25 (83.3%)	30 (44.8%)	<b>&lt;0.001</b>
Overhead Athlete (n, %)	15 (50.0%)	30 (44.8%)	0.34
SLAP Repair (%)	14 (46.7%)	23 (34.3%)	0.25
Suture Anchors (n)	4.8 ± 1.8	4.2 ± 1.5	0.10
Final Follow Up Time (months)	32.1 ± 17.2	38.6 ± 24.7	0.14



<b>Result</b>	<b>n (%)</b>
<b>Pass (0 sections failed)</b>	11 of 30 (36.7%)
<b>Pass (1 section failed)</b>	10 of 30 (33.3%)
<b>Fail (2+ sections failed)</b>	9 of 30 (30.0%)
<b>Component</b>	<b>Pass, n (%)</b>
<b>Isokinetic</b>	
ER at 60°/s	16 of 29 (55.2%)
ER at 180°/s	19 of 29 (65.5%)
ER at 60°/s + 180°/s	15 of 29 (51.7%)
IR at 60°/s	17 of 29 (58.6%)
IR at 180°/s	20 of 29 (69.0%)
IR at 60°/s + 180°/s	16 of 29 (55.2%)
<b>Isometric</b>	
ER at 0°	26 of 29 (89.7%)
ER at 90°	19 of 29 (65.5%)
IR at 0°	26 of 29 (89.7%)
IR at 90°	21 of 29 (72.4%)
ER/IR at 0°	26 of 29 (89.7%)
ER/IR at 90°	18 of 29 (62.1%)
ERET at 0°	18 of 23 (78.3%)
ERET while prone	17 of 23 (73.4%)
<b>CKCUE</b>	27 of 30 (90%)
<b>Shot-put</b>	28 of 30 (93.3%)

Outcome	RTS (n=30)	Control (n=67)	p-value
<b>Preop SSV (%)</b>	59 ± 18	64 ± 18	0.07
<b>Postop SSV (%)</b>	94 ± 8	88 ± 14	<b>0.038</b>
<b>Preop VAS (0-10)</b>	4.9 ± 1.8	5.0 ± 2.4	0.94
<b>Postop VAS (0-10)</b>	0.9 ± 1.8	0.9 ± 1.8	0.92
<b>Recurrent Instability (n, %)</b>	2 (6.7%)	6 (9.0%)	1.00
<b>Football (n)</b>	1	3	
<b>Baseball/Softball (n)</b>	0	2	
<b>Weightlifting (n)</b>	0	1	
<b>Tennis (n)</b>	1	0	
<b>Recurrent Pain/Weakness (n, %)</b>	7 (23.3%)	17 (25.4%)	0.83
<b>Revision Surgery (n, %)</b>	0 (0.0%)	2 (3.0%)	1.00
<b>Football (n)</b>	0	1	
<b>Baseball/Softball (n)</b>	0	1	
<b>Return to Sport</b>	18 (94.7%) (n=19)	33 (94.3%) (n=35)	0.94
<b>Return to Sport at Same Level</b>	16 (84.2%) (n=19)	28 (80.0%) (n=35)	0.70



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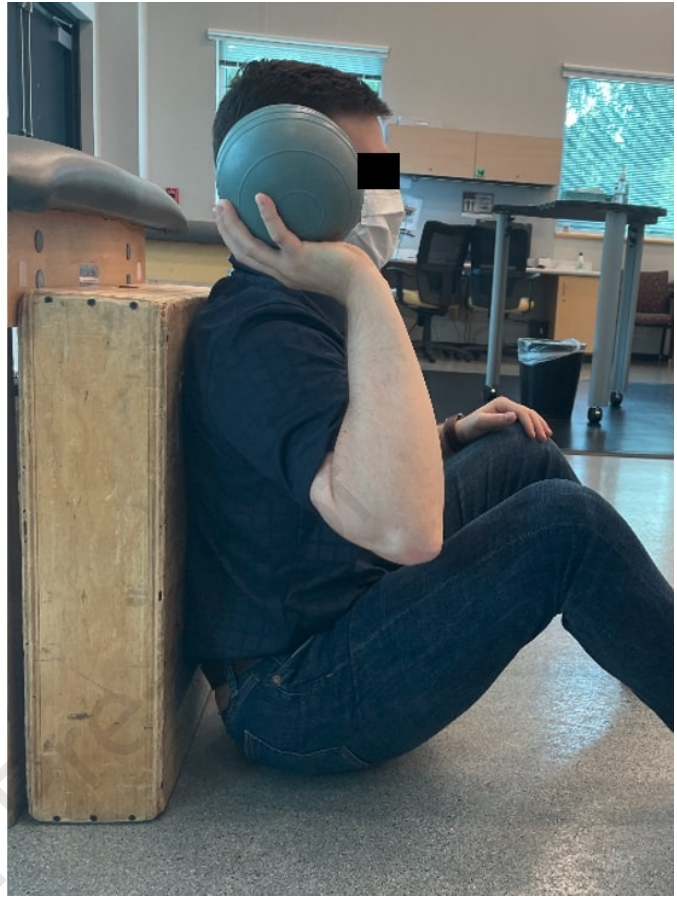
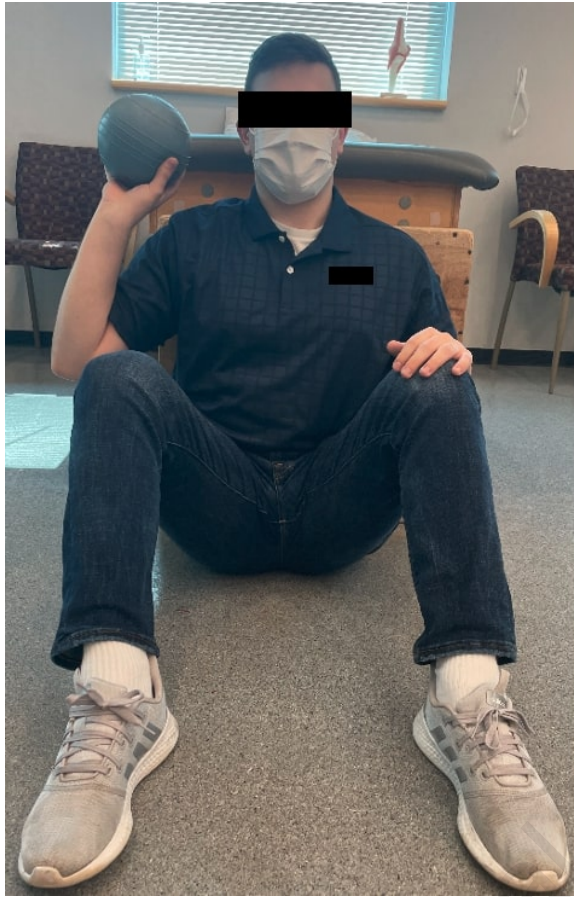
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